

Comparative effectiveness research in mental health

Michael Rawlins

Chairman, National Institute for Health and Clinical Excellence, London

Emeritus Professor, University of Newcastle upon Tyne

Honorary Professor, London School of Hygiene and Tropical Medicine



*National Institute for
Health and Clinical Excellence*

Comparative effectiveness research

Health Technology Assessment

Determines:

- whether a technology works;
- for whom;
- how it compares with the alternatives; and
- at what cost.

Clinical effectiveness

Cost effectiveness

Health technologies

defined as

Methods used to:

- promote health;
- prevent and treat disease;
- improve rehabilitation and long term care.

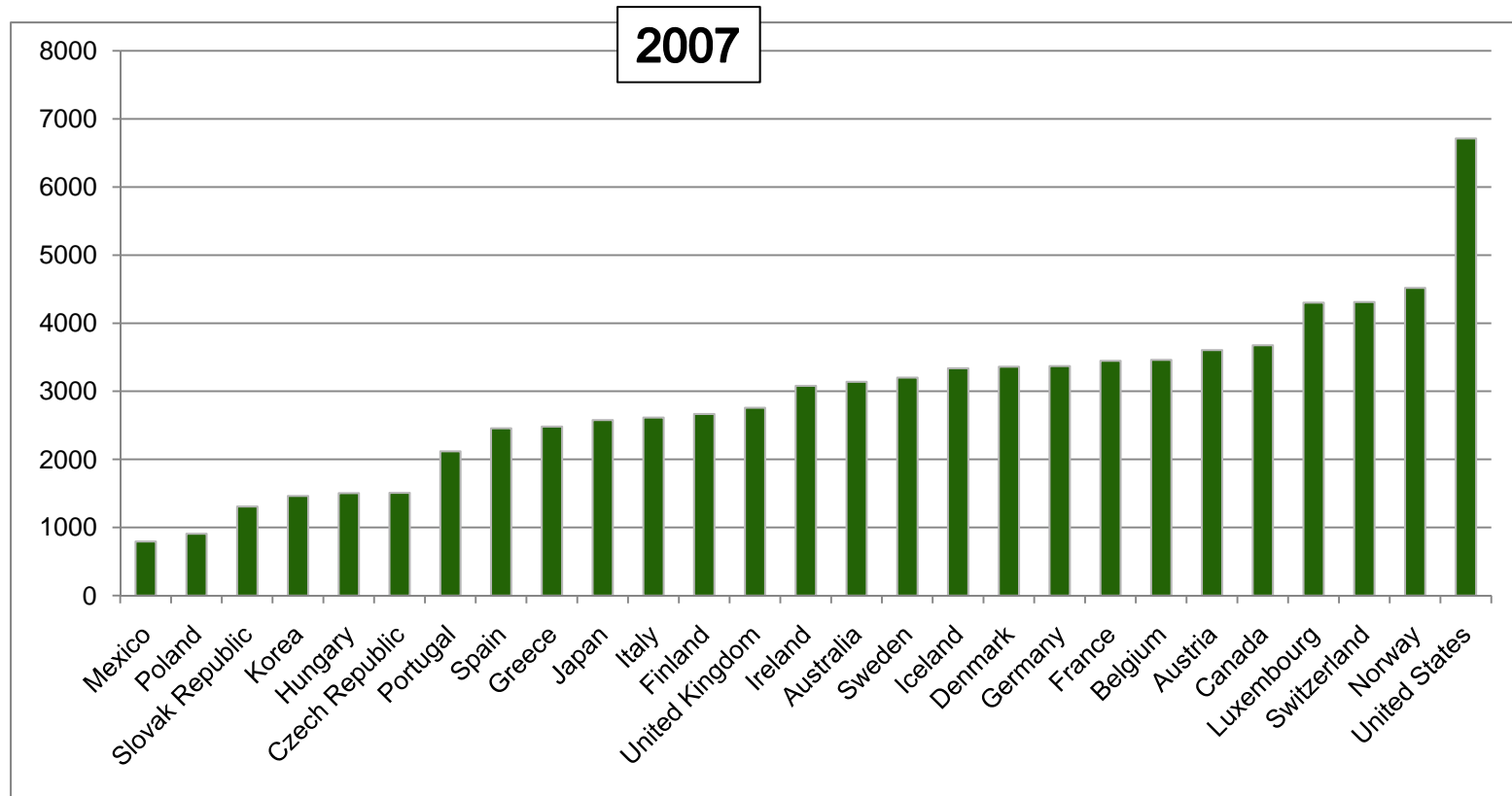
Includes:

- pharmaceuticals;
- devices and procedures;
- diagnostic methods;
- settings of care; and
- screening.

Methodological research

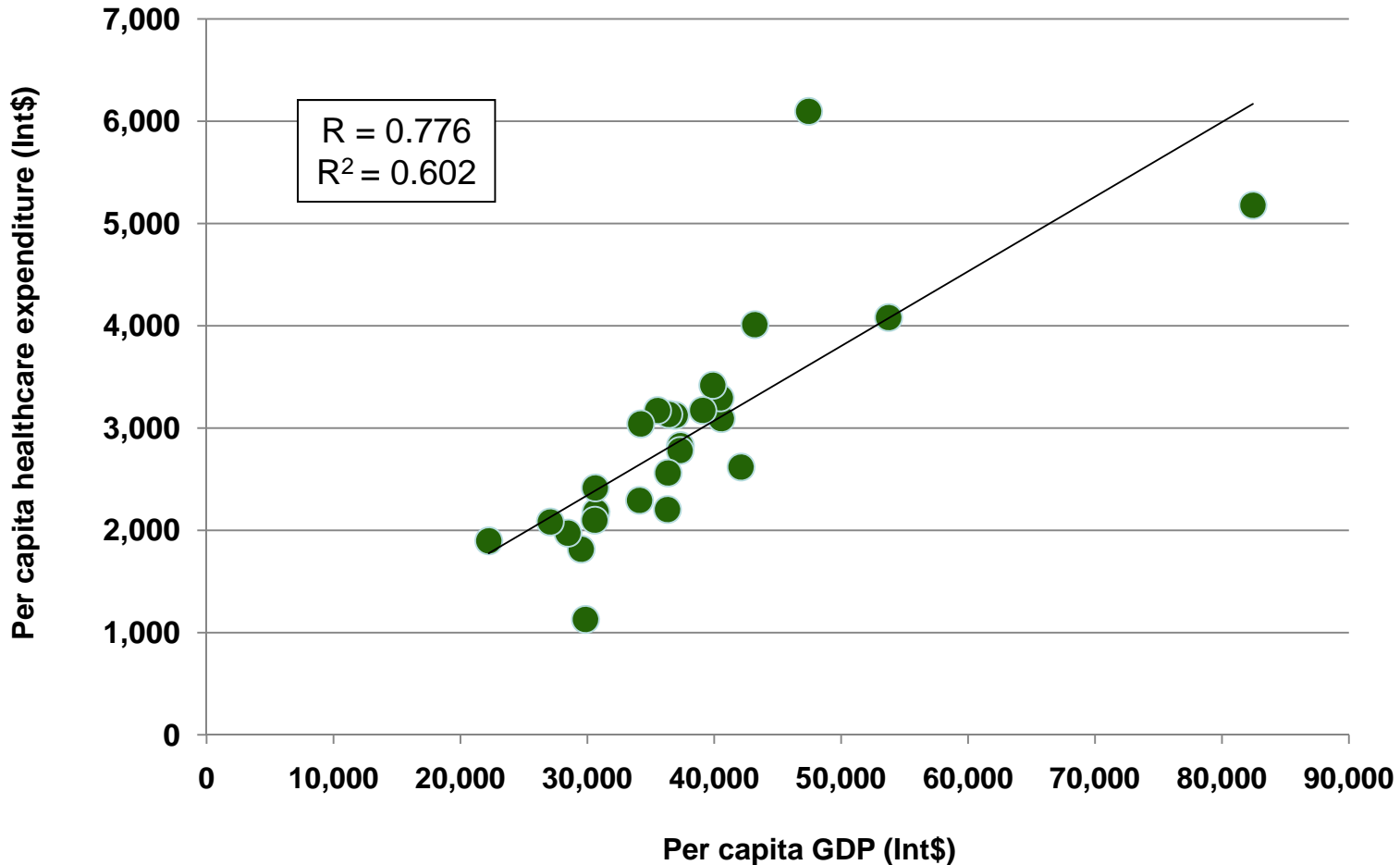
Resource Constraints

Healthcare Expenditure (US\$ per person)



GDP and Healthcare Expenditure

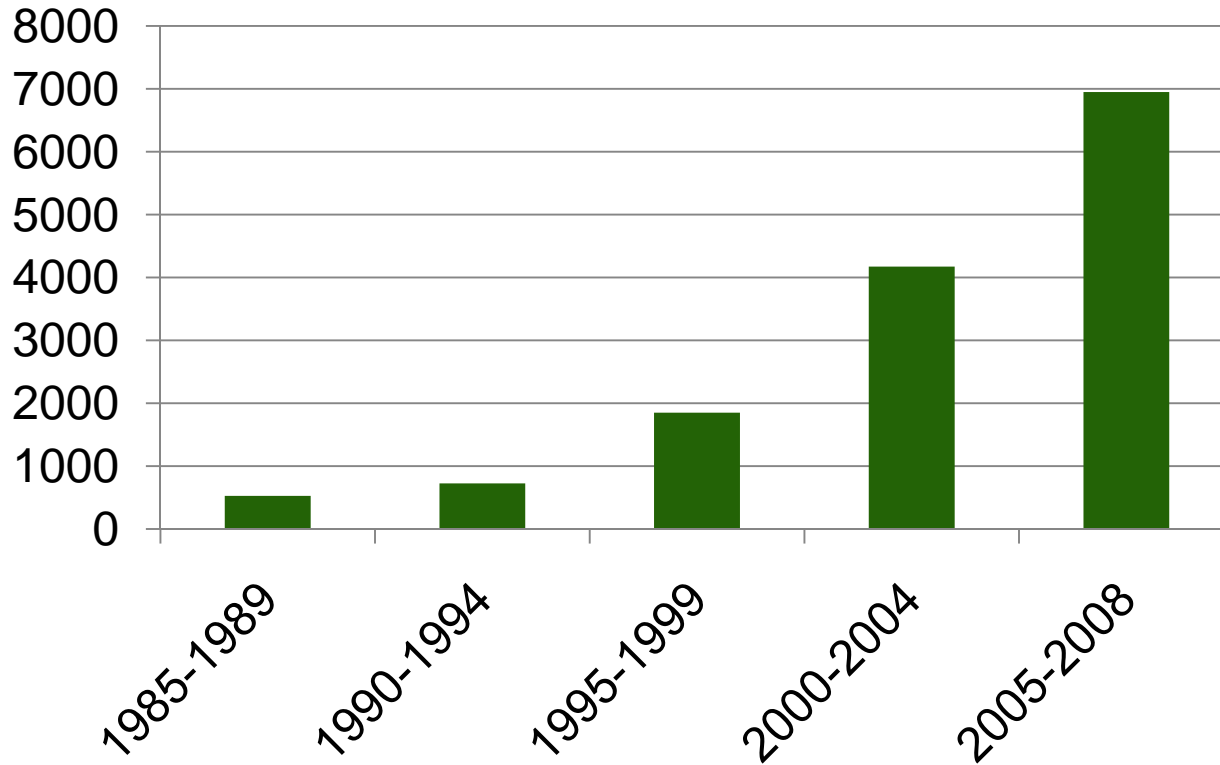
2007



Median Monthly Costs

new anti-cancer drugs at launch

Monthly treatment costs US\$
at 2007 prices



Bach et al 2009

Year

NHS

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Clinical Evaluation

sources of evidence

1. Randomized controlled trials
2. Observational studies
3. Systematic reviews

Avoiding “hierarchies” of evidence

Hierarchies of Evidence

| Level | Description |
|-------|--|
| 1++ | High quality meta-analyses, systematic reviews of RCTs, or RCTs with a very low risk of bias. |
| 1+ | Well-conducted meta-analyses, systematic reviews of RCTs, or RCTs with a low risk of bias. |
| 1- | Meta-analyses, systematic reviews of randomized controlled trials or RCTs with a high risk of bias. |
| 2++ | High quality systematic reviews of case-control or cohort studies with a low risk of confounding, bias or chance and a high probability of causality |
| 2+ | Well-conducted case-control or cohort studies with a low risk of confounding, bias or chance and a significant chance that the relationship is not causal. |
| 2- | Case-control or cohort studies with a high risk of confounding, bias or chance and a significant risk that the relationship is not causal. |
| 3 | Non-analytical studies (for example case records, case series) |
| 4 | Expert opinion, formal consensus. |

Randomized controlled trials

strengths

1. Minimizes selection bias
2. Minimizes confounding
3. Minimizes ascertainment bias
4. Minimizes random error
5. Comparative efficacy/effectiveness

Clinical effectiveness

comparisons

1. Direct comparison

- intervention A *versus* intervention B

2. Indirect comparison

- intervention A *versus* placebo
- intervention B *versus* placebo
- impute intervention A *versus* intervention B

3. Mixed treatment comparisons

- combined results of direct *plus* indirect comparisons

Randomized controlled trials

weaknesses

1. Null hypothesis
2. Generalisability
3. The problem of multiplicity
4. Assessment of safety
5. Escalating costs

Observational studies

1. Historical controlled trials
2. Case-control designs
3. Case series

Historical Controlled Trials

Evidence of Benefit

| Intervention | Indication |
|---------------------------|----------------------------------|
| Thyroxine (1891) | Myxoedema |
| Streptomycin (1948) | Tuberculous meningitis |
| Defibrillation (1948) | Ventricular fibrillation |
| Ganglion blockers (1959) | Malignant hypertension |
| Heimlich manoeuvre (1975) | Laryngeal obstruction |
| N-acetylcysteine (1979) | Paracetamol poisoning |
| Ganciclovir (1986) | CMV retinitis |
| Imiglucerase (1990) | Gaucher's disease |
| Laser therapy (2000) | Port wine stains |
| Imatinib (2002) | Chronic myeloid leukaemia |
| Imatinib (2005) | Gastrointestinal stromal tumours |

Case-control studies

Harms

| Intervention | Harm |
|---------------------------------------|-------------------------------------|
| Oral contraceptives | Venous thromboembolism |
| Diethylstilboestrol in pregnancy | Genital tract cancer (in offspring) |
| Non-steroidal anti-inflammatory drugs | Upper gastro intestinal bleeding |
| Aspirin in children | Reye's syndrome |
| Hormone replacement therapy | venous thromboembolism |
| Hormone replacement therapy | Breast cancer |
| Anticonvulsants | Stevens-Johnson syndrome |
| Olanzapine | Diabetes mellitus |
| Fluoroquinolones | Ruptured Achilles tendon |

Case-series

1. Generalisability
2. Economic evaluation
3. Comparative efficacy/effectiveness?

More hierarchies of evidence

| Level | Criteria |
|-------|---|
| 1a | Systematic review of RCTs with homogeneity |
| 1a - | Systematic review of RCTs with worrisome homogeneity |
| 1b | Individual RCTs with narrow confidence intervals |
| 1c | All or none effects |
| 2a | Systematic review of cohort studies with homogeneity |
| 2a- | Systematic review of cohort studies with worrisome heterogeneity |
| 2b | Individual cohort studies and RCTs with <80% follow-up |
| 2c | Outcomes research or ecological studies |
| 3a | Systematic review of case-control studies with homogeneity |
| 3a - | Systematic review of case-control studies with worrisome heterogeneity |
| 3b | Individual case-control studies |
| 4 | Case studies, or poor quality cohort or case control studies |
| 5 | Expert opinion without explicit critical appraisal, or based on physiology or based on "first principles" |

Economic Evaluation

Overarching principles:

1. Economic perspective

- NHS and PSS

2. Cost effectiveness

- Not affordability or budgetary impact

3. Balance between:

- Efficiency (utilitarianism)
- Fairness (egalitarianism)

Cost Utility Analysis

Costs (and savings)

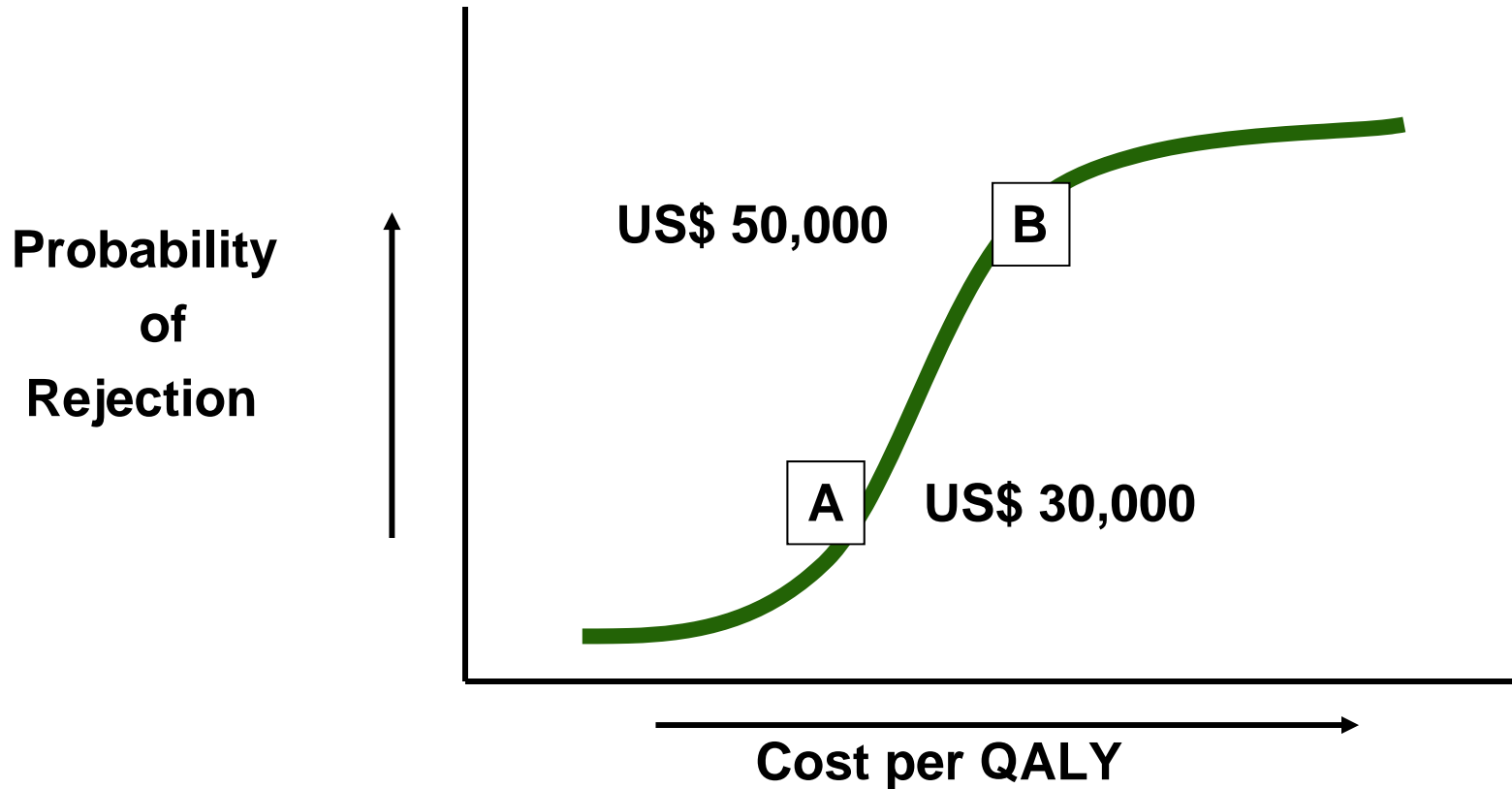
- direct
- indirect

Benefits

- incremental (change) in HRQoL (utility)
– for which it is “enjoyed”

Incremental cost effectiveness ratio

Cost Ineffectiveness



Decision-making

1. Scientific judgements

- Reliability of the evidence-base
- Appropriateness of sub-groups
- Generalisability
- Capture of quality of life
- Handling uncertainty

2. Social value judgements

- Severity of disease
- End of life interventions (“rule of rescue”)
- Age
- Health inequalities

Social Value Judgements

Citizens Council:

- 30 members
- Cross-section of England and Wales
- Serve for 3 years (one third retiring annually)
- Meet twice a year – for 3 days
- Deliberate the process
- Report directly to the Board

Culturally and context specific

Case-by-Case Decisions

Factors taken into account include:

- severity of the underlying condition
- extensions to end of life
- stakeholder persuasiveness
- significant clinical innovation
- children
- disadvantaged populations
- corporate responsibility

Recommendations >£30,000 per QALY

| Topic | QALY ('000) | Severity | End of life | Significant innovation | Disadvantaged population | Corporate responsibility |
|-----------------------------|-------------|----------|-------------|------------------------|--------------------------|--------------------------|
| Riluzole (MND) | 38-42 | ★ | ★ | ★ | | |
| Temozolomide (glioma) | 35 | ★ | ★ | | | |
| Trastusumab (breast cancer) | 37.5 | ★ | ★ | | | |
| Imatinib (CML) | 36-65 | ★ | ★ | ★ | | |
| Bortezomib (myeloma) | 32.5 | ★ | | ★ | | |
| Pemetrexed (mesothelioma) | 34.5 | | ★ | ★ | ★ | ★ |
| Sunitinib (renal cancer) | | ★ | ★ | ★ | | |
| Human growth hormone | | | | | | ★ |
| Insulin p... | Uncertain | | | | | ★ |
| Lenalidomide (myeloma) | | ★ | ★ | | | |

The QALY is a tool not a rule

NICE guidance

1. Clinical:

- Technology appraisals
- Clinical guidelines
- Interventional procedures

2. Public health

- Public health interventions
- Public health guidelines

3. Quality standards and metrics

- Quality & outcomes framework
- NICE quality standards/metrics

4. NHS Evidence



Published NICE guidance

| Type | 2007 | Numbers |
|---------------------------|-------|---------|
| Appraisals | | 181 |
| Clinical guidelines | | 108 |
| Interventional procedures | | 314 |
| Public health | | 21 |
| | Total | 624 |

Technology appraisals

Includes:

- Pharmaceuticals
- Procedures
- Devices
- Diagnostic methods
- Patient training

Clinical effectiveness

Cost effectiveness

Technology appraisals

all decisions

| Recommendation | Condition treatment pairs |
|------------------|---------------------------|
| Full use | 102 (28%) |
| Restricted use | 195 (54%) |
| Only in research | 22 (6%) |
| No use | 36 (10%) |
| Non-submission | 5 (1%) |
| TOTAL | 360 (100%) |

Clinical guidelines

“Systematically developed statements to assist practitioner and patient decisions about appropriate health care for specific clinical circumstances.”

Clinical effectiveness

Cost effectiveness

Institute of Medicine



**National Institute for
Health and Clinical Excellence**

Guidelines Programme

| Topic | Published |
|---|-----------|
| Central nervous system (inc. mental health) | 24 |
| Obstetrics & gynaecology | 11 |
| Cardiovascular | 13 |
| Metabolic | 7 |
| Cancer | 17 |
| Gastrointestinal | 5 |
| Others | 37 |

Principles of NICE guidance

1. Robust
2. Inclusive
3. Transparent
4. Independent



Impact

**Health technology assessment
Comparative effectiveness research**

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graph TD; A[Health technology assessment  
Comparative effectiveness research] --> B[Technology appraisals  
n = 9 (3)]; A --> C[Clinical guidelines  
n = 19 (10)];
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**Technology appraisals
n = 9 (3)**

**Clinical guidelines
n = 19 (10)**

Some examples

Technology appraisals:

- Electroconvulsive therapy
- Atypical anti-psychotic use
- Structural neuro-imaging in first episode psychosis

Clinical guidelines:

- Cognitive behavioural therapy
- Depression in children and adolescents
- Antenatal and postnatal mental health

Conclusions

1. HTA/CER has a critical part to play in ensuring high quality care.
2. Current approaches are too reliant on a restricted range of techniques
3. Methodological research is needed to increase its relevance.
4. Coupled with more (and better) primary research (and not confined to RTCs)